

ALISSA KOLE, MANAGING DIRECTOR SELECT PUBLICATIONS & SPEAKING ENGAGEMENTS

SELECT CONFERENCES

Saudi Capital Market Forum, 19-20 February 2024, Riyadh

PIF Private Sector Forum, 6-7 February 2024, Riyadh

PIF Directors' Gathering, 29-30 November, Riyadh

Senegalese Governance and Legal Reform Workshop, 10-20 September 2022, facilitator (speaker)

Pennsylvania Law School Conference on Regulatory Developments, 25 February 2022, virtual (speaker)

United Nations Building Bridges Fintech Conference, 30 November 2021, Geneva (speaker)

International Corporate Governance Network Webinar on Stewardship, 8 July 2021 (moderator)

United Nations Dialogue on Digital Finance Governance, UNDP and UNCDF, 18 June 2021 (speaker)

State Ownership After the Crisis: What Means to What End? 1 February 2021 (moderator and host)

The Fate of the West, Vision and Value, Bill Emmott Book Presentation, 14 January 2021 (speaker)

Ethical Leadership in the Digital Era, 20 October 2020, online (expert panellist)

Horasis Extraordinary Annual Conference, 1 October 2020, online (panel moderator)

Board Secretary Conference, Building the Trust, 15 September 2020 (speaker)

T20 Inception Conference, 17-19 January 2020, Riyadh, Saudi Arabia (keynote speaker)

Inaugural Annual Conference, Common Fund for Commodities, 3 December 2019, Hague, Netherlands (speaker)

Turkey Women on Boards Annual Conference, 20 November, Istanbul, Turkey (panel speaker)

Horasis India Meeting, 28 June 2019, Segovia, Spain (plenary speaker)

Horasis Global Meeting, 6-9 April, 2019, Cascais, Portugal (speaker)

World Federation of Exchanges, 14 March 2019, London, United Kingdom (speaker)

World Exchange Forum, 25-27 February, 2019, Beirut, Lebanon (speaker)

International Forum of the Americas, 10-12 December 2018, Toronto, Canada (speaker)

Annual Conference of the Indian Institute of Directors, 26 October 2018, London, UK (speaker)

Annual Corporate Governance Conference, 5 October 2018, Doha, Qatar (keynote speaker)

Annual Risk and Compliance Seminar, 12 September 2018, Saudi Arabia (speaker)

TEDx, What Next?, 15 May 2018, London, United Kingdom (speaker)

World Exchange Congress, Annual Conference, 27-28 February, 2018, Muscat, Oman (speaker)

Roundtable on the development of the Egyptian Capital Market, 25-26 November, 2017, Cairo, Egypt (chair)

International Forum for the Americas, 28 December, 2017, Toronto, Canada (speaker)

Arab Foundations Forum Annual Meeting, 13-14 September 2017, Beirut, Lebanon (trainer)

International Institute of Finance Annual Conference, Dubai, February 13-14, 2016 (speaker)

Egypt Capital Markets Roundtable, GOVERN and RBF, New York, 2016 (organizer and chair)

Expert Group Meeting on Competition in the Arab World, UN, 25-26 October 2016, Beirut, Lebanon (speaker)

Governance Risk and Compliance Seminar, Thomson Reuters, 8-9 May 2016, Riyadh, KSA (moderator)

Arab Federation of Exchanges Annual Conference, 27-28 April 2015, Amman, 22-23 April 2017 Beirut (speaker, moderator)

GCC Financial Summit, Euromoney, 23-24 February, 2016, Manama, Bahrain (speaker)

GCC Regulators Summit, Thomson Reuters, 25-26 January 2016, Abu Dhabi, UAE (board member, speaker)

Emirates Foundation Annual Conference, 27 October 2015, Abu Dhabi, UAE (speaker)

Lebanon Conference, Euromoney, 9 June, 2015, Beirut, Lebanon (speaker)

Political Economy of the Private Sector in the Middle East, Oxford University, 5-6 June 2015, Oxford, UK (speaker)

Annual Forum on Corporate Accountability, Pearl Initiative, 16 April, 2015 (speaker)

Tunisia Investment Conference, 11 March 2015, OECD Tunis, Tunisia (speaker)

Workshop on Investment Promotion in Iraq, OECD, 16 February 2015, Paris, France (speaker)

Economic Development After the Uprisings, Dauphine University, 11-12 December 2015, Paris, France (speaker)

GCC Regulators Summit, 26-27 January, 2015, Dubai, UAE (speaker)

Sciences-Po Conference on the Arab World, 5-6 November, 2014, Paris, France (speaker)

Seminar at the Abu Dhabi Chamber of Commerce, 20 April 2015, 22 May 2017, Abu Dhabi, UAE (speaker)

First Annual Capital Markets Forum, UASA, 25-26 November 2014, Abu Dhabi, UAE (speaker)

Roundtable on Corporate Governance of SOEs in Tunisia, 16 October 2014, Tunis, Tunisia (speaker)

Hawkamah Annual Corporate Governance Conference, Dubai, 11 November 2014, Dubai, UAE (moderator)

Africa and Middle East Depositaries Association conference, 8-10 March, 2014 Muscat, Oman (speaker)

Arab Federation of Stock Exchanges Annual Conference, 4-5 June 2014, Dubai, UAE (speaker)

Pearl Initiative Workshop on Corporate Transparency, 14 May, 2014, Manama, Bahrain (speaker)

OECD Roundtable of MENA IoDs and CG Centers, 22 September 2014, Paris, France (chair)

Board Training Workshop, Moroccan Institute of Directors, 4 December 2014, Casablanca, Morocco (speaker)

The Millstein Governance Forum: The State of Corporate Governance, 12 June 2014, New York, US (speaker)

GCC Regulators Summit, 17-18 February, 2014, Dubai, UAE (speaker)

Taskforce of MENA Stock Exchanges for Corporate Governance, OECD 2 December 2013 Muscat, Oman (chair)

Egypt Economic Reform Forum, Rockefeller Brothers Fund, 14 June 2013, New York, US (speaker)

Roundtable on Corporate Governance of SOEs, 17 May, 2013, Tunis, Tunisia (speaker)

Arab Federation of Stock Exchanges Annual conference, 3-4 March 2013, Muscat, Oman (speaker)

African and Middle East Depositaries Association Annual Meeting, 3 October 2013, Muscat, Oman (speaker)

Annual Corporate Governance Conference, Hawkamah, 24-25 November 2013, Dubai, UAE (speaker)

OECD High-Level Launch Meeting of the MENA Investor Council, 4 November 2013, Istanbul, Turkey (chair)

Executive Briefing organised by Minaret Business Association, September 30, 2013, Jeddah, Saudi Arabia (chair)

Taskforce on Corporate Governance of State-Owned Enterprises, OECD, 2-3 July 2013, Istanbul, Turkey (chair)

Roundtable on Governance of State-Owned Enterprises in Tunisia, OECD, 16 May 2013, Tunis, Tunisia (chair)

OECD Workshop to Support the Development of the Lebanese CMA, OECD, 3-4 April 2013, Beirut, Lebanon (chair)

Expert Workshop for the Casablanca Stock Exchange, OECD 17 December 2012, Casablanca, Morocco (chair)

Africa and Middle East Depositaries Association conference, 25 November, 2012 Kuwait City, Kuwait (speaker)

Annual Corporate Governance Conference, Hawkamah, 11 November 2012, Dubai, UAE (speaker)

Consultation on Prospects for Investors in the MENA Region, OECD, 12 October 2012, London, UK (chair)

Meetings of MENA Heads of Stock Exchanges, OECD, 22 June 2012, Istanbul, Turkey (chair)

African and Middle East Depositaries Association Annual Meeting, 27-28 November 2012, Kuwait City(speaker)

Taskforce on Corporate Governance of State-Owned Enterprises, OECD, 9-10 April 2012, Kuwait City, Kuwait (chair)

Hawkamah Annual Corporate Governance Conference, 31 October, 2011, Dubai, UAE (speaker)

Abu Dhabi Corporate Governance Center Annual Conference, 20 December, 2011, Abu Dhabi, UAE (speaker)

Meetings of heads of MENA Stock Exchanges, OECD, 5 July 2011, Paris, France (chair)

GTZ Conference on Private Sector Development, 3 April 2011, Algiers, Algeria (speaker)

Annual Conference of the Egyptian Institute of Directors, 22-23 June 2011, Cairo, Egypt (speaker)

Task Force on Corporate Governance of State-owned Enterprises, OECD 5-6 April 2011, Rabat, Morocco (chair)

Workshop for Institutional Investors, OECD/GCC BDI, 22-23 March 2011, Riyadh, Saudi Arabia (organiser)

Corporate Governance Conference, Dubai SME Department, 26 September, 2011, Dubai, UAE (speaker)

Le Monde, Les Journées de l'Economie, 10 November 2010, Lyon, France (speaker)

Books and Chapters

Arab States as Shareholders: Origins and Consequences, Revue Internationale de Politique du Développement, Geneva, 2017

From Regulation to Enforcement in the Middle East and North Africa, in The Handbook of Board Governance, Wiley, 2016

Shareholder Spring in the Middle East?, in Corporate Governance in Emerging Markets: Theories, Practices and Cases, 2014

MENA State-Owned Enterprises: Engines of Development and Competitiveness? OECD, 2013

Corporate Reporting and Knowledge-Based Capital, in Supporting Investment in Knowledge Capital, Growth and Innovation, OECD, Paris 2013

Towards Better State Ownership in the Middle East and North Africa, OECD, 2012

Policy Brief on Corporate Governance of MENA Banks, OECD and UAB, 2009

Private Sector Development in the Middle East and North Africa, OECD, 2009

Reports and Articles

BigFintechs and SDGs: the Role of Corporate Governance, Report for UNDP and UNCDF, 2021

Governance of Arab Think Tanks for Impact and Sustainability, G20 Report, GOVERN, 2019

Flagship Report on Corporate Governance of Arab Banks, for IFG, GOVERN, 2018

Flagship Report on Corporate Governance in Lebanon, for IFG, GOVERN, 2017

MENA Corporate Governance Factbook, for the OECD, GOVERN, 2017

A Decade of Change in GCC Boardrooms, Report for the GCC BDI, GOVERN, 2017

Improving the Attractiveness of the Egyptian Capital Market, GOVERN, 2016

The Role of Institutional Investors in MENA Capital Markets, GOVERN, 2016

The Role of Institutional Investors in the Egyptian Capital Market, GOVERN, 2016

Case studies on Corporate Governance (Maaden and Savola), GOVERN, 2015

WEF, Corporate Governance for Competitiveness in MENA, Report for the Regional Business Council, 2015

Corporate Governance Enforcement in the Middle East and North Africa, OECD, 2014

Guide on Related Party Transactions in the Middle East and North Africa, OECD and UASA, 2014

Survey of Listing Requirements of MENA Stock Exchanges, OECD, 2014

Privatisation and Demutualisation of MENA Stock Exchanges: To be or not to be?, OECD, 2014

The Role of MENA Stock Exchanges in Corporate Governance, OECD, 2012

The Role of Stock Exchanges in Promoting Transparency and Disclosure, International Accounting and Reporting Issues, 2012

The Second Corporate Governance Wave in the Middle East and North Africa, OECD, 2011

Corporate Governance Review of Russia, OECD, 2011

A survey on MENA Corporate Governance Frameworks, OECD, 2011

Report on Insolvency Systems in the Arab World, INSOL-WB-OECD, 2010

The Role of Stock Exchanges in Corporate Governance, 2009

Opinion pieces and articles

Global Corporate Governance Rules are Changing, Financial Times, Agenda Supplement, 2023, https://www.agendaweek.com/c/4256694/550834 (paywalled)

Why Countries Need a Legal Framework to Govern State-Owned Enterprises, LSE Business Review, 2023 https://blogs.lse.ac.uk/businessreview/2023/09/22/why-countries-need-a-legal-framework-to-govern-state-ownership-of-companies/

Twitter's Stakeholder Paradigm Test, Aspenia, 2022 https://aspeniaonline.it/the-musk-factor-twitters-stakeholder-paradigm-test/

Twitter Highlights a Fundamental Corporate Governance Failure, Financial Times - Agenda, 2022, https://www.agendaweek.com/user/trial (paywalled)

Russia's Economic Transformation and the Future World Order, Aspen Institute, Aspenia, 2022 https://aspeniaonline.it/russias-economic-transformation-and-the-future-world-order/

The Global Fight on BigTech and Its Unintended Consequences, Aspen Institute, Aspenia, 2021 https://aspeniaonline.it/the-global-fight-on-big-tech-and-its-unintended-consequences

What Does the Future Hold for Global Stock Exchanges? LSE Business Review, 2021, https://blogs.lse.ac.uk/businessreview/2021/06/23/what-does-the-future-hold-for-global-stock-exchanges-after-covid/

The Capital Markets Tug of War Between the United States and China, Harvard Corporate Governance Blog, 2021, https://corpgov.law.harvard.edu/2021/02/27/the-capital-markets-tug-of-war-between-us-and-china

Size Matters When It Comes to Big Tech, Aspenia Online, 2020, https://aspeniaonline.it/size-matters-when-it-comes-to-big-tech

Gulf Regulators Must Clarify Their Cross Border Responsibilities, Financial Times, Special Report on Arab Banking and Finance, 2020 https://www.ft.com/reports/arab-banking-finance

US and Chinese Tech Firms Increasingly A Game of Playing Pac-Man, LSE Business Review, 2020 https://blogs.lse.ac.uk/businessreview/2020/11/04/us-and-chinese-tech-firms-increasingly-play-a-game-of-pac-man

Collision or Collusion in Open Skies? Aspen Institute, 2020 https://aspeniaonline.it/collision-or-collusion-in-open-skies/

The Problem with Foreign Issuers, Harvard Corporate Governance Blog, 2020 https://corpgov.law.harvard.edu/2020/06/23/the-problem-with-foreign-issuers

The Power of One, Aspen Institute, 2020, https://aspeniaonline.it/the-power-of-one/

From Golden Parachutes to Golden Shares, Aspen Institute, 2020, https://aspeniaonline.it/from-golden-parachutes-to-golden-shares/

What Remedies for Gulf Corporate Governance Symptoms? 2020, https://www.linkedin.com/pulse/what-remedy-gulf-governance-symptoms-alissa-kole-amico

This Pandemic is the Litmus Test of Stakeholderism, Harvard Corporate Governance Blog, 2020 https://horasis.org/the-pandemic-is-the-litmus-test-of-stakeholderism

Government Ownership in the Post Virus World, Harvard Corporate Governance Blog, 2020, www.corpgov.law.harvard.edu/2020/04/12/government-ownership-in-the-post-virus-world

Why Ownership Concentration Matters, Project Syndicate and the Harvard Corporate Governance Blog, 2020, https://corpgov.law.harvard.edu/2020/02/24/why-ownership-concentration-matters/

On the Certainty of Death and Taxes, the Ethical Boardroom Magazine, 2020, https://issuu.com/ethicalboardroom/docs/ethical-boardroom winter-20

How Ownership Concentration Is Happening, and Why It Matters, Project Syndicate, 2020, https://www.project-syndicate.org/commentary/threat-of-concentrated-corporate-ownership-by-alissa-amico-2020-01

Perspectives on Investing in Middle East Companies, International Corporate Governance Annual Yearbook, 2019, http://icgn.flpbks.com/icgn-yearbook-2019

Capitalism's Tipping Point is at Amazon, Harvard Business Review, 2019, <a href="https://hbrarabic.com/https://hbrarabic.com/https://hbrarabic.com/https://hbrarabic.com/https://hbrarabic.com/تحول الرأسمالية

Directing Diversity From Top Down, Why Regulators Should Practice What They Preach, 2019, Forbes Magazine https://www.forbesmiddleeast.com/directing-diversity-from-the-top-down-why-regulators-should-practice-what-they-preach?fbclid=IwAR3T7fv5OSxCIr4578oY-GcFwAuXgz6Ic9UInLpCDkI9AfXjVZB4RHE3sQQ

The Role of the State in Corporate Governance, 2019 https://blogs.cfainstitute.org/investor/2019/04/22/the-role-of-the-state-in-corporate-governance/

Navigating Institutional Investor Expectations: Tips for Executives and Boards, 2019,

https://www.closirviewpoints.com/blog/2019/4/5/navigating-institutional-investor-expectationsnbsp-tips-for-emboards-and-executives

Dinosaur Governance in the Era of Unicorns, 2018, https://ethicalboardroom.com/dinosaur-governance-in-the-age-of-unicorns/

The AI Governance Challenge, 2019, https://www.project-syndicate.org/commentary/artificial-intelligence-governance-standards-by-alissa-amico-2019-03 (in Chinese, Arabic, Spanish), reprinted in China Times, Jordan Times, etc.

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On Elon Musk, Donald Trump and Corporate Governance, Harvard Law School Blog on Corporate Governance, 2018

https://corpgov.law.harvard.edu/2018/09/29/on-elon-musk-donald-trump-and-corporate-governance/?fbclid=IwAR06GK7AqPv-a1aWaglo8NSg6z-57QcCO6X9t11DJgLvLKezFZmBZm2CBPc

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Corporate Governance at Saudi Aramco, Project Syndicate, 2017, https://www.project-syndicate.org/commentary/saudi-aramco-london-stock-exchange-by-alissa-amico-2017-08

The Promise of Middle Eastern Sovereign Wealth Funds, Project Syndicate, 2017, https://www.project-syndicate.org/commentary/corporate-governance-middle-east-sovereign-wealth-funds-by-alissa-amico-2017-02?barrier=accessreg

Is Privatisation in the Future of GCC Countries? CFA Enterprising Investor, 2016, https://blogs.cfainstitute.org/investor/2016/03/21/is-privatization-in-the-future-of-gcc-countries/

Related Party Transactions: a New Focus in the MENA Governance Debate, FEAS Magazine, 2015 http://www.feas.org/downloads/INTERFEAS%20SPRING%202015%20final.pdf

Towards Better Corporate Governance Enforcement in the Middle East and North Africa, Framework Magazine, 2015, http://www.cpifinancial.net/magazine/12/268/moving-with-the-times

Enforcing Corporate Governance, Framework Magazine, 2014

http://www.cpifinancial.net/flipbooks/Framework/2015/2/files/assets/common/downloads/page0022.pdf

How Private are MENA Capital Markets?, Economic Research Forum Blog, 2015, http://erfblog.org/2015/06/11/how-private-are-mena-capital-markets/

The Invisible Hand of the State in MENA Economies, Washington Post, 2015

 $\underline{http://www.washingtonpost.com/blogs/monkey-cage/wp/2015/03/04/the-limits-of-privatization-in-the-middle-east/}$

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Corporate Governance Enforcement in the Middle East and North Africa, Harvard Blog, 2014 http://corpgov.law.harvard.edu/2014/10/12/corporate-governance-enforcement-in-the-middle-east-and-north-africa/

Restructuring Arab Stock Exchanges: Implications on Governance, Hawkamah Journal, 2014 http://www.cpifinancial.net/flipbooks/Hawkamah/2014/Apr/files/assets/common/downloads/Hawakamah%201ssue%2001.pdf

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Deuxième Vague de Gouvernance d'Entreprise au Moyen-Orient et en Afrique du Nord, Institut Français d'Administrateurs/French Institute of Directors, Administrateur Magazine, http://www.ifa-asso.com/publications/index.php

Corporate Governance in the Middle East, December 3, 2011, Executive Magazine, http://www.executive-insight-corporate-governance-in-the-middle-east

Asia's Corporate Governance Challenge, March 11, 2011, Corporate Secretary Magazine, http://www.businessinsider.com/asias-corporate-governance-challenge-2011-3?IR=T

Encouraging Smaller Enterprises, Capital Markets Viewpoint, Oxford Business Group, Jordan Review, 2007 http://www.oxfordbusinessgroup.com/purchase-obg-publications

Media coverage

Saudi Families Float Alongside Sovereigns, Global Finance Magazine, 2022, https://www.gfmag.com/magazine/february-2022/saudi-arabia-families-float-sovereigns

Why CEOs must reset their thinking, a Special Supplement on the Future of the CEO, 2021 https://www.raconteur.net/hr/ceo-thinking-reset

NMC Scandal Proves a Boon for Local Advisors, Financial Times, 2020 https://www.ft.com/content/edf10938-7500-11ea-90ce-5fb6c07a27f2?shareType=nongift

Abu Dhabi Wealth Fund Said to Weigh Investment in Troubled NMC, Bloomberg, 2020, https://www.bloomberg.com/news/articles/2020-02-29/abu-dhabi-wealth-fund-said-to-weigh-investment-in-troubled-nmc

NMC Founder Shetty Loved Challenges Until They Ate His Company, Bloomberg, 2020 https://www.bloomberg.com/news/articles/2020-02-28/nmc-founder-shetty-loved-challenges-until-they-ate-his-company

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The Role of the Board in Taming the CEO, Special Edition for the Times, 2018, https://www.raconteur.net/hr/board-ceo-governance?fbclid=IwAR3Cy6dm6-rcoP0gbve7qb-jRg2uuApko bWOlH4vflM5bZpcOj9MrzPMP4

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Interviews and Video Coverage

Governance Dialogues, GOVERN CENTER's Youtube channel

Y: http://www.youtube.com/c/AlissaKole

TEDx, Good Governance for a New Social Contract in the Middle East, London, May 2018

https://www.youtube.com/watch?v=MWR0DS9 RXM

Moderator, Governance in Focus Forum, Panel on Capital Markets, Riyadh, Saudi Arabia, April 2018

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https://vimeo.com/241802998

Speaker, International Institute of Finance Annual Conference, Panel on Capital Markets, February 2017

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